Bayesian variant-based pathway enrichment analysis using GWAS summary statistics Xiang Zhu¹ and Matthew Stephens^{1,2} ¹Department of Statistics, ²Department of Human Genetics

Can pathway enrichment and variant prioritization be integrated?

Carbonetto and Stephens [1] proposed a single framework that integrated testing pathway enrichment, estimating enrichment level and prioritizing genetic variants in the enriched pathways. The software, BMApathway, is available at https://github.com/pcarbo/bmapathway.

A novel integrated approach

The GWAS full data are genotypes $X := (\mathbf{x}_1, \ldots, \mathbf{x}_n)^T$ and phenotypes $\mathbf{y} := (y_1, \ldots, y_n)^{\mathsf{T}}$ from *n* unrelated individuals. ► For continuous traits, linear regression is used:

 $y_i | \mathbf{x}_i, \boldsymbol{\beta} \sim N(\beta_0 + \mathbf{x}_i^{\mathsf{T}} \boldsymbol{\beta}, \tau^{-1}).$

► For binary traits, logistic regression is used:

 $y_i | \mathbf{x}_i, \boldsymbol{\beta} \sim \text{Bernoulli}(\eta(\mathbf{x}_i, \boldsymbol{\beta})), \text{ logit}(\eta(\mathbf{x}_i, \boldsymbol{\beta})) = \beta_0 + \mathbf{x}_i^{\mathsf{T}} \boldsymbol{\beta}.$

The multiple-SNP effect of p SNPs, $\beta := (\beta_1, \ldots, \beta_p)^T$, has prior

 $\beta_j \sim (1 - \pi_j)\delta_0 + \pi_j N(0, \sigma_B^2).$

The *enrichment* of associations withing a pathway is modeled as

$$\mathsf{git}_{10}(\pi_j) = \theta_0 + \mathbf{a}_j \theta,$$

where $a_i := \mathbf{1} \{ SNP \ j \text{ is in the pathway} \}$, θ is the *log-fold enrichment* and θ_0 is the genome-wide log-odds.

Notations: $\mathbf{a} := (a_1, \ldots, a_p)^T$ and $\boldsymbol{\gamma} := (\gamma_1, \ldots, \gamma_p)^T$, $\gamma_j = \mathbf{1} \{ \beta_j \neq 0 \}$.

Can the integrated method be applied to GWAS summary data?

Application of BMApathway is complicated by access to full data.

Summary statistics from single-SNP analysis are widely available.

► The enrichment prior is useful even if full data are not provided.

A similar integrated analysis is possible if

we keep the prior and use a likelihood that only relies on summary data.

References

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Regression with Summary Statistics (RSS) provides a solution.

We propose the following regression model for GWAS summary statistics: $\widehat{\boldsymbol{\beta}}|S, R, \boldsymbol{\beta} \sim N(SRS^{-1}\boldsymbol{\beta}, SRS).$

RSS uses an algorithm based on variational approximation.

The strength of pathway enrichment is measured by

Estimate $p(\beta, \gamma | \mathbf{D}, \theta_0, \theta)$ given $\{\theta_0, \theta\}$

Decomposition of marginal likelihood:

 $\log p(\mathbf{D})$

Kullback-Leibler (KL) divergence Evidence lower bound (LB) Optimization problem:

The only assumption being made: mean field approximation

Optimal solution q_i^{\star} for each each q_j :

Iterative so

Estimate $p(\theta_0, \theta | \mathbf{D})$

Parallel implementation

 $\widehat{\beta} := (\hat{\beta}_1, \dots, \hat{\beta}_p)^{\mathsf{T}}$, where $\hat{\beta}_j$ is the single-SNP effect estimate of SNP j; ► $S := \text{diag}(\mathbf{s}), \mathbf{s} := (s_1, \ldots, s_p)^T$, where s_i is the standard error of $\hat{\beta}_i$; \triangleright R is the population linkage disequilibrium (LD) matrix.

We term the model Regression with Summary Statistics [2].

Our posterior computation exploits the fact that

$$p(\boldsymbol{\beta}, \boldsymbol{\gamma} | \mathbf{D}) = \int p(\boldsymbol{\beta}, \boldsymbol{\gamma} | \mathbf{D}, \theta_0, \theta) p(\theta_0, \theta | \mathbf{D}) \mathrm{d}\theta_0 \mathrm{d}\theta.$$

 $\mathsf{SBF}(\mathbf{a}) := p(\widehat{\boldsymbol{\beta}}|S, R, \mathbf{a}, \theta > 0) / p(\widehat{\boldsymbol{\beta}}|S, R, \mathbf{a}, \theta = 0).$

The log-fold enrichment θ is estimated from

$$p(\theta|\mathbf{D}), \ \mathbf{D} := \{\widehat{\boldsymbol{eta}}, S, R, \mathbf{a}\}$$

The association signal of SNP j given the enrichment is summarized as $SPIP(j) := p(\gamma_j = 1 | \widehat{\beta}, S, R, \mathbf{a}).$

$$\theta_{0}, \theta) = \underbrace{\mathsf{E}_{q} \log \left[\frac{q(\beta, \gamma)}{p(\beta, \gamma | \mathbf{D}, \theta_{0}, \theta)} \right]}_{\mathsf{Kullback | eibler (Kl) divergence}} + \underbrace{\mathsf{E}_{q} \log \left[\frac{p(\mathbf{D}, \beta, \gamma | \theta_{0}, \theta)}{q(\beta, \gamma)} \right]}_{\mathsf{Evidence lower bound (I P)}}$$

$$q^{\star} = rg \min_{a} \mathsf{KL}(q; heta_0, heta) = rg \max_{a} \mathsf{LB}(q; heta_0, heta)$$

$$q(oldsymbol{eta},oldsymbol{\gamma}) = \prod_{j=1}^p q_j(eta_j,\gamma_j)$$

$$q_j^{\star}(\beta_j, \gamma_j) = [\alpha_j N(\beta_j; \mu_j, \sigma_j^2)]^{\gamma_j} [(1 - \alpha_j) \delta_0(\beta_j)]^{1 - \gamma_j}$$

cheme for obtaining q_i^{\star} :

$$\sigma_j^2 = (s_j^{-2} + \sigma_B^{-2})^{-1}$$

$$\mu_j = \sigma_j^2 (s_j^{-2} \hat{\beta}_j - s_j^{-1} \sum_{i \neq j} s_i^{-1} R_{ij} \alpha_i \mu_i)$$

$$\frac{\alpha_j}{-\alpha_j} = \frac{\pi_j}{1 - \pi_j} \cdot \frac{\sigma_j}{\sigma_B} \cdot \exp\left\{\frac{\mu_j^2}{2\sigma_j^2}\right\}$$

• Current approach is to use points of $\{\theta_0, \theta\}$ in a regular grid and set $p(\theta_0, \theta | \mathbf{D}) \propto \exp\{\mathsf{LB}(q^*; \theta_0, \theta)\}.$ We are investigating more efficient approaches.

 $R_{ii} = 0$ if SNP i and j are on different chromosomes.

▶ Iterative update of q_i^{\star} only requires data from SNP *i* that $R_{ij} \neq 0$. ► $LB(q^{\star}; \theta_0, \theta) = \sum_{c=1}^{22} LB_c$, LB_c only uses data from Chromosome c.

RSS yields results comparable to a method that requires genotype data.

We compare RSS with a full data-based method, BMApathway [1], through simulations based on real genotype data [3].

Type 1 error



Power



Enrichment estimation



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▶ Null dataset assumes that each SNP is equally likely to be causal. Enriched dataset assumes that SNPs in the pathway are more likely to be associated with the phenotypes. The pathway used in simulations is signal transduction (Reactome [4]) retrieved from BioSystems [5].

RSS provides a insights into co

Pathways are retrieved from Pathways are retrieved from Pathways [5] and BioCarta (BC), which ir PID [7], PANTHER [8], KEGG

Crohn's disease

We applied RSS on 3160 curat of 435,615 SNPs for Crohn's d and 2,938 controls) in the Briti

The top five candidate pathways for enrichment of Crohn's disease detected by RSSpathway and BMApathway are the same, and their enrichments are also significant using other methods.

Pathway	Source	Database $\log_{10}(BF)$		₁₀ (BF)	$-\log_{10}(P)$			
			RSSpathway	BMApathway [1]	GRASS [11]	Gates-Simes [12]	HYST [13]	
IL12-mediated signaling events	PID	PC	9.42	4.00	11.06	10.80	15.65	
Cytokine signaling in immune system	Reactome	BS	8.87	5.97	14.91	10.32	15.65	
IL23-mediated signaling events	PID	PC	8.72	4.13	12.29	11.02	Inf	
Immune system	Reactome	BS	6.24	3.30	10.72	9.97	8.39	
Immune system	Reactome	PC	5.75	3.07	10.04	10.13	7.49	
Immune system	Reactome	PC	5.75	3.07	10.04	10.13	7.4	

The following figure shows P_1 , posterior probability that locus contains disease risk variants, with and without enrichment of cytokine signaling.



Adult height

We applied RSS on 3700 curated pathways and GWAS summary statistics of 1.06 million SNPs for adult human height from 253,288 individuals of European (EUR) ancestry [14]. The population LD matrix R was estimated from the 1000 Genomes [15] EUR samples.

The top-ranked pathways are listed below, and most of them are linked to skeletal development and homeostasis, regulation of human stature, cell migration, cancer and etiology of bone diseases.

Pathway Hedgehog signaling pathway Hedgehog signaling pathway Basal cell carcinoma Hedgehog 'on' state RAC1 signaling pathway Rho cell motility signaling pathway Signaling by Hedgehog Regulatory role of PI3K subunit p8 How does salmonella hijack a cell Y branching of actin filaments Pathogenic Escherichia coli infectio Pathogenic Escherichia coli infectio How progesterone initiates oocyte r Rho GTPases activate WASPs and Cytoskeletal regulation by Rho GTF Signaling events mediated by the H Signaling events mediated by the H

EPHB-mediated forward signaling Ligand-receptor interactions

Software

Software of using RSS for integrated enrichment analysis, RSSpathway, will be available from https://github.com/stephenslab/rss.

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way to gain biological omplex human traits.
thway Commons (PC) [6], Biosystems (BS) Iclude gene sets derived from Reactome [4], [9] and WikiPathways (Wiki) [10].
ed pathways and GWAS summary statistics isease from 4,686 individuals (1,748 cases ish population [3].

	Source	Database	# of genes
	Wiki	BS	22
	KEGG	BS	51
	KEGG	BS	55
	Reactome	BS	84
	PID	PC	54
	BC	BC	32
	Reactome	BS	135
5	BC	BC	16
	BC	BC	13
	BC	BC	20
n	KEGG	BS	55
n	Wiki	BS	56
nembrane	BC	BC	33
WAVEs	Reactome	BS	35
Dase	PANTHER	PC	70
edgehog family	PID	BS	22
edgehog family	PID	PC	23
	Reactome	PC	41
	Reactome	BS	8